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Life Cycle Assessment of Additively Manufactured Foundations for Ultratall Wind Turbine Towers

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ABSTRACT

Wind energy production is rapidly growing in the United States and is expected to continue increasing as more and larger wind turbines are installed. To support these taller and heavier onshore turbines, new foundations must be designed and manufactured. One proposed method of reducing the total amount of concrete and steel in spread foundations is to utilize additive manufacturing to enable more material-efficient designs. To compare these additively manufacturing-enabled designs to conventional foundation designs, this study performs a life cycle impact assessment of four ultra-tall wind turbine foundations: two foundations using 78-MPa 3D printed stay-in-place concrete formwork cast with 35-MPa ready-mix concrete with reinforcements, and two conventional foundations cast entirely out of 35-MPa concrete with reinforcements. The life cycle assessment investigates the environmental impacts of four different stages, including materials production, transportation, construction, and end-of-life. The materials production stage is found to dominate the life cycle results, contributing over 97% of the total CO₂ emissions and over 88% of the fossil fuel depletion for each foundation. Compared to the conventional designs, the Short Flat Ribbed Beam foundation with 3D printed formwork has 22.4% lower CO₂ emissions and 28.3% lower fossil fuel depletion than the Circular foundation, and 2.0% higher CO₂ and 5.9% lower fossil fuel depletion compared to the Tapered foundation. Parametric studies indicate that reducing cement content and increasing recycled content in printed concrete can significantly reduce the overall life cycle impacts of the foundations.

1 | Introduction

The replacement of fossil fuels with renewable energy has become a driving focus in the global effort to mitigate the effects of climate change, as fossil fuel usage remains the single largest emitter of greenhouse gasses globally [\[1–3](#page-13-0)]. Wind power is among the largest generators of renewable energy, and within the United States, wind energy has been steadily growing in usage. In 2018, wind energy accounted for 6.5% of US electricity production [\[4\]](#page-13-1), which increased to 10% of total electricity generation in 2022 [\[5\]](#page-13-2). This increasing trend is expected to continue as

more onshore and offshore wind farms continue to come online and as more advanced turbines are introduced to the market [[6\]](#page-13-3). With the expanded incentives for renewable energy growth from the Inflation Reduction Act, it is projected that by 2027, US wind energy capacity will grow annually by up to 18.4–22.7GW [\[5\]](#page-13-2).

As demand for wind power grows, turbine systems are scaling up to meet it, with research showing that taller wind turbines that can access stronger and more consistent winds will generate greater power [\[7, 8\]](#page-13-4). As a demonstration of this relationship, in the United States, the average rated turbine capacity in 2022

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was 3.2MW and the average tower height was 98.1m, a 7% and 4% increase, respectively, from 2021 and a substantial 350% and 73% increase since 1998–1999 [\[5\]](#page-13-2). Continuing this trend, if an onshore wind turbines were to reach a hub height of 140m or beyond, it would be able to access stronger and more consistent winds that could increase the amount of energy produced at a site with moderate wind shear by over 20% [\[9\]](#page-13-5), with the potential for development in all 50 US states [\[10](#page-13-6)]. Offshore wind turbine towers have already reached a global average hub height greater than 100m and announced projects past 2025 have heights extending beyond 150m [\[11](#page-13-7)].

When scaling up an onshore turbine to reach these ultra-tall heights of 140m and beyond, the designs of each subassembly must be updated, including the tower and foundation. Traditional foundations for onshore wind turbines include rock-anchored foundations, pier deep foundations, and spread footings [\[12, 13](#page-13-8)], with the spread footing design being the most common among onshore wind turbines. However, spread footing foundations rely on a substantial amount of reinforced concrete to keep the tower from overturning. Both concrete and steel production significantly contribute to anthropogenic carbon emissions. Producing 1 t of cement, the primary ingredient in concrete, generates approximately 1t of $CO₂$, while producing 1t of steel generates around 1.9t of $CO₂$ [\[14, 15](#page-13-9)]. Therefore, foundation designs that can support an ultra-tall wind turbine tower while using less concrete would reduce the overall carbon impacts of the wind turbine system.

Concrete additive manufacturing, also referred to as 3D concrete printing (3DCP), is an emerging manufacturing method that enables the rapid on-site manufacturing of large-scale, complex turbine foundation formworks. In this approach, a gantry printer is installed above the site location of the foundation and is used to create a concrete stay-in-place formwork by depositing printed concrete material layer-by-layer. The printed formwork can then be installed with rebar and filled in cast concrete before being backfilled with soil prior to the turbine tower assembly. 3DCP eliminates the need for building cumbersome formworks made of wood, reduces labor, and allows for on-site and automated construction using local concrete ingredients, which can be tailored to create printable concrete mixtures that meet specific rheological, mechanical, or environmental demands [\[16–20](#page-13-10)]. Incorporating recycled aggregates into 3D printed concrete structures can also reduce their environmental impacts, as seen in prior life cycle assessments (LCAs) [\[17, 21–23\]](#page-13-11). 3D printed concrete formworks have been used in previous structural experiments and engineering models [\[23–27\]](#page-13-12) where they not only improve manufacturing efficiency but also allow novel, optimized geometric designs of foundations to reduce total volume of materials. In order to properly predict the potential life cycle environmental impacts of adopting this novel approach and to identify future paths to reducing the environmental impacts of large-scale wind turbine foundations, a life cycle environmental assessment on different foundation designs is needed.

LCA is a method of evaluating and comparing the environmental impacts of different processes or products. While wind turbine foundations have been modeled in previous LCA studies, it is often only as a complimentary component modeled to match the tower subassembly, with the foundations using the same

design method [\[28, 29\]](#page-14-0). In these life cycle studies, tower designs vary, but the foundation designs remain consistent, with only dimensions scaled to match the specific tower or seismic loading cases. For example, the life cycle study by Gervásio et al. [\[30\]](#page-14-1) emphasized the impact of seismic foundation design on LCA results, noting that seismic loading increased the foundation mass by up to 75% for concrete towers. However, the study only considered hexagonal foundations of varying sizes, without examining different types of spread foundations, such as tapered, circular, or other complex geometries. While this approach contributes to understanding a foundation's role in the total environmental impact of a wind turbine, it does not provide insight into how different foundation designs compare environmentally. The concrete foundation is also often presumed to remain in the ground and is excluded from end-of-life measurements [\[30–33](#page-14-1)], resulting in a lack of knowledge on how much if any environmental offsets could be achieved by excavating and recycling the concrete foundations.

The LCA conducted in this study quantifies the total life cycle environmental impacts of spread footing concrete foundations made to support a 140-m concrete tower and designed using 3D printed formwork, compared with conventional concrete foundations designed to satisfy identical load cases. Two designs utilizing 3D printed concrete formworks were considered in the study: (1) the initial foundation design that first satisfied the design requirements and (2) the refined foundation design that minimized material mass while satisfying the design requirements. For the conventional foundations, two different designs were considered: (1) a conventional circular slab foundation and (2) a conventional tapered foundation. This study compares the four concrete foundations across each of their life cycle stages, including material production, transportation, construction, and end-of-life decommissioning. A parametric study is conducted to investigate the sensitivity of the LCA results to variations in the initial assumptions. Finally, the concrete foundation results are combined with the results from a prior 140-m-tall turbine tower LCA [\[23](#page-13-13)] to create a comprehensive overview of the life cycle impacts of a 140-m-tall novel wind turbine manufactured utilizing concrete additive manufacturing technology.

2 | State of the Art of Land-Based Wind Turbine Foundations

Traditionally, onshore wind turbines use one of the three types of foundations: (1) anchored foundation, (2) piled or pier deep foundation, or (3) spread or spread footing foundation. The choice between which foundation to use is often determined by soil characteristics at the turbine site location [\[12, 13\]](#page-13-8). Anchored foundations can be used when competent rock is near the surface of the build site and are comprised of a small foundation pad secured to the rock using prestressed rock anchors. Pier-type deep foundations are used when soil can provide enough lateral resistance to resist overturning and are comprised of solid or hollow cylinders inserted into the ground. Finally, spread foundations are used in strong and stiff moraine soils and are comprised of a slab of reinforced concrete in an octagonal, square, or circular shape that acts as a gravity support to prevent tower overturning. If a spread foundation requires additional support, soil stabilization processes can be used to strengthen the soil such as

jet grouting, or deep piles can be used to transfer loads to deeper bedrock or soil layers [\[13\]](#page-13-14). Within this study, spread foundations have been selected based on the site location soil conditions detailed in Section [3.1.](#page-3-0)

Of the two traditional spread foundations considered in this study, the Conventional Circular foundation is a basic circular slab design commonly utilized in the construction of large towers such as wind turbines towers or grain silos [\[34, 35\]](#page-14-2) and consists of a single circular mat of reinforced concrete. The Conventional Tapered design is another common foundation used for onshore wind turbines [\[36\]](#page-14-3) and consists of a circular base that tapers into a smaller upper diameter where the tower mounting is placed. Images of both conventional foundations can be seen in Figure [1](#page-2-0).

One unconventional approach to onshore wind turbine foundations is a tree-inspired foundation made of folded steel. This design is comprised of several long pieces of triangular steel that are folded using robotic arms into three-dimensional beams that are then twisted and bound together to form the finished foundation. The primary advantage of this design is being able to use recycled steel instead of concrete, which the manufacturer, STILFOLD, has predicted could lower the $CO₂$ equivalent emissions of a turbine system by up to 80% [\[38](#page-14-4)]. The primary limitation of this design is the challenging construction and manufacturing process, as well as a lack of knowledge concerning if this design could support an ultratall tower.

Another new approach to wind turbine foundations is to use precast concrete components rather than cast the concrete onsite. For this manufacturing method, the foundation is constructed using precast concrete components that are shipped to the tower erection site from the offsite plant. This method circumvents curing issues related to weather or temperature variations at the build-site. Also, the precast concrete foundations are designed to be more easily dismantled than traditionally field cast foundations. Because the foundations are precast and assembled in pieces, those components can be precast using ribs that reduce total concrete mass. Precast foundations made by Anker Foundations for onshore wind turbines have been mass produced and used in Europe since 2020 [\[39\]](#page-14-5).

Concrete additive manufacturing is a novel construction method for concrete spread foundations focused on in this study. Rather than using wood formworks, the concrete formworks are additively manufactured on-site on top of a precast concrete mat using a gantry 3D printer. Rebars are then installed in the 3D printed concrete formworks. Ready-mix concrete is then cast into the formworks to complete the foundation. Large-scale structural testing of reinforced concrete components made using stay-inplace 3D printed formworks was conducted at the University of California, Irvine to evaluate and validate the manufacturing efficiency and structural capacity. The results showed that the 3D printed thin-wall formworks made of high-strength concrete developed sufficient strength and stability within the initial 48h to support the weight of the casting concrete, accelerating

FIGURE 1 | Images of the four foundation designs considered in this study. Clockwise from top left, a Conventional Circular foundation [[35](#page-14-6)], a Conventional Tapered foundation [\[36](#page-14-3)], the 3DCP Short Flat Ribbed Beam foundation, and the 3DCP Flat Ribbed Beam foundation [\[37\]](#page-14-7).

the additive manufacturing process of structural components. Structural components, such as 4-m-tall reinforced concrete columns designed and manufactured using this 3D printing and casting process, were able to withstand both service and extreme (e.g., seismic) loading conditions. Figure [1](#page-2-0) shows the 3D cast concrete foundations designed by RCAM and WSP [\[37](#page-14-7)] alongside the two conventional designs.

3 | Model for LCA

3.1 | Structural Designs of Concrete Wind Turbine Foundations

Within this study, four concrete foundations were designed to satisfy the strength, stability, and soil-bearing capacity requirements of supporting a novel 140-m-tall 7.5-MW concrete wind turbine. The four foundations include two conventional designs, (1) Conventional Circular foundation and (2) Conventional Tapered foundation, and two designs utilizing 3D printed concrete formwork, (3) 3DCP Flat Ribbed Beam foundation and (4) 3DCP Short Flat Ribbed Beam foundation.

All four tower foundations were designed to support an identical concrete turbine tower with its model shown in Figure [2.](#page-3-1) To estimate loads, the tower design was adapted from the early concepts of the 140-m-tall 7.5-MW 78-MPa 3D printed concrete tower discussed in the companion tower LCA paper [\[23\]](#page-13-13). An additional 4169-kN load was added to the tower dead load to simulate the hub and blades, resulting in a total axial load of 64,207kN and moment of 709,255kN·m acting on the foundations.

In addition to the applied loading conditions, the soil-bearing capacity was also considered when designing the footing sizes of the foundations. The soil properties used for each design were based on the construction site location of San Gorgonio near Palm Springs, California, as shown in Table [1](#page-3-2). This location was chosen for its existing wind power infrastructure and capacity, along with its proximity to industrial and staffing resources. **γ** represents the density of the soil, $\mathbf{G}_{\mathbf{s}}$ the soil shear modulus, \mathbf{c} the cohesion, **ν** the soil Poisson's ratio, and **δ** the interfacial friction angle. For this location, the soil is categorized as coarse to medium sand, with little gravel.

The ultimate bearing capacity of the soil was calculated using a safety factor of 2.5, which was selected based on engineering judgment and guidelines from Bowles [\[40](#page-14-8)].

Finally, all foundation designs had to meet the strength and stability requirements of reinforced concrete laid out in ACI-318 design code $[41]$ $[41]$. Both seismic + operating and gravity + operating load types were considered during the design process, with the seismic load case governing the final foundation designs [\[37](#page-14-7)].

Each of the foundations and concrete formworks is considered to be constructed/printed on-site at the location where the turbine will be erected. It is assumed that prior to foundation construction, the ground will be excavated to a depth of 6m and a diameter of 36.5m, and the bottom 152mm of the excavation will be filled with either gravel or plain cement to create a flat surface

FIGURE 2 | The model of a 140-m-tall wind turbine tower on top of the 3DCP Short Flat Ribbed Beam foundation [\[37\]](#page-14-7).

Note: Relevant dimensions of the 3DCP Flat Ribbed Beam foundation are shown in Figure [A1](#page-16-0).

for working. All cast portions of the concrete foundations are designed using normal-strength concrete with compressive strength of 35 MPa, while all printed concrete formworks are assumed to be additively manufactured using high-strength concrete with compressive strength of 78 MPa. High-strength concrete was selected for 3D printing the thin-wall formworks to ensure they develop sufficient initial strength to withstand the 3D casting process and to reduce the total amount of printed material, thereby shortening the overall construction time and potentially reducing costs. The 3DCP formworks will be printed directly on to the base mat of the foundation and will have rebar installed prior to final stay-in-place pouring.

Based on the design requirements, all four modeled foundations utilize a maximum 30.48-m-diameter footprint. The conventional circular foundation is designed with a single uniform slab thickness, while the conventional tapered foundation is divided into two stages; the bottom half of its height is a uniform diameter slab, and the top half of its total height has a linear transition from the base diameter to the final top diameter of 12.19m. The final design measurements for both conventional foundations are listed in Table [2.](#page-4-0)

The foundations incorporating 3DCP discussed in this study are made up of four primary elements: (1) a bottom circular mat foundation, (2) flat trapezoidal rib beams, (3) a hollow center pedestal, and (4) 3D printed 152-mm-thick concrete formworks surrounding the rib beams and center pedestal. These designs take advantage of complex 3D printed formworks to reduce overall concrete volume. This is achieved via the rib beams

containing and distributing the weight of the backfilled soil to the circular mat foundation, so less overall concrete weight is needed to resist overturning and sliding when compared to the conventional circular and tapered designs. Of the two printed designs, the 3DCP Flat Ribbed Beam foundation was designed first under the assumption that the ribs of the foundation should reach the edge of the circular mat to fully transfer loads between the elements, and was modeled within SAP2000 [\[42](#page-14-10)] to ensure it satisfied all foundation design requirements. From this baseline, the design was further refined to reduce the length of the rib beams and the total concrete volume, while still satisfying the stress limitations due to the design loads. The final outcome of this design refinement process is labeled within this study as the 3DCP Short Flat Ribbed Beam foundation, and the complete design for both 3D cast foundations are listed in Table [2.](#page-4-0) Prior studies [\[21, 23, 30\]](#page-14-1) have shown that the material phase is the largest contributor to global warming potential (GWP) for large concrete structures, making additional refinement of structural designs a common recommendation to mitigate the environmental impacts of concrete structures. By comparing the 3DCP Short Flat Ribbed Beam against the original 3DCP Flat Ribbed Beam, the environmental impact of this design refinement is quantified within this study.

3.2 | LCA Method

This study is carried out using the "cradle-to-grave" system boundary and includes the phases for material production, transportation, construction, and end of life (recycling and

waste allocation). Figure [3](#page-5-0) shows the life cycle phases of a wind turbine foundation considered in this study, and the detailed breakdown of each stage is discussed further in Section [3.3.](#page-5-1) The use phase is not reported in this study due to the lack of maintenance differences related to any of the modeled wind turbine foundations over the turbine's operating lifespan. The LCA of the foundations described in this study are modeled after the international standards ISO 14040 (2006) and ISO 14044 (2006) [\[43, 44\]](#page-14-11). The parameters considered in the LCA include total material volumes and weights, site location, manufacturing method, printing speed, number of workers, construction schedules, and end-of-life recovery percentages.

Since the purpose of this LCA is to highlight the differences between the tower foundations, the life cycle models in this study only consider the systemic differences between the concrete foundations while allowing any shared features (tower fixtures, excavation construction, and roadwork) to remain equivalent and unconsidered within the study. The environmental categories used to quantify the results of the foundation LCA are GWP, eutrophication, smog, fossil fuel depletion, acidification, ozone depletion, carcinogens, noncarcinogens, respiratory effects, and ecotoxicity. Reported units for each environmental indicator are listed in Table [3](#page-5-2).

3.3 | LCA Assumptions

The construction process undertaken for each of the concrete foundations is as follows. As detailed in Section [3.1,](#page-3-0) the site is prepared for each foundation by first clearing the area of vegetation before excavating the ground to a depth of 6m and a diameter of 36.5m and then coating the bottom 152mm of the excavation with a layer of either gravel or cementitious material to create a flat working surface. After the site is prepared, the formwork and rebar cage are installed for the bottom circular mat portion of the foundations. The circular mat foundation is then filled in with 35-MPa ready-mix concrete, poured using either a boom pump or a line pump supplied by readymix truck loading material into the pump's hopper. Because all foundations include a base circular mat, the initial stage formwork is not considered in the LCA. For the 3DCP foundations,

the next step of the construction process is printing the stayin-place formwork on top of the circular mat. The formwork is comprised of a 152-mm-thick 78-MPa concrete mixture and is printed using a mobile gantry printer with an assumed printing rate of 3.6m3/h. Finally, the rebar is installed into the rib beams and center pedestal as shown in Figure [4](#page-6-0) and is then filled with 35-MPa ready-mix concrete using the same process as the circular mat. A JXLRZ 47-5.16 Boom Pump and a 2016 Kenworth W900 Mixing Truck are assumed for all ready-mix concrete pouring, with a pumping rate of 164m3/h. These machines are modeled within the life cycle inventory as a high load factor and steady-state machine in the Ecoinvent 3 database, respectively, based on EPA regulations [\[45\]](#page-14-12). The last step for each foundation is backfilling with native soil above the foundations and between each of the rib beams for the 3DCP Short Flat Ribbed Beam and 3DCP Flat Ribbed Beam foundations. The number of work hours considered for each part of the construction phase modeled in the LCA is listed in Table [4.](#page-7-0)

Figure [5](#page-8-0) shows the mix proportions of the two types of concrete considered in this study: 78-MPa 3D printed concrete and

TABLE 3 | Environmental indicators for LCA, derived from TRACI impact indicator categories.

Impact indicator	Unit
Ozone layer depletion potential (ODP)	kg CFC-11 equiv.
Global warming potential (GWP)	kg CO ₂ equiv.
Acidification potential (AP)	kg SO ₂ equiv.
Eutrophication potential (EP)	kg N equiv.
Photochemical smog creation potential (POCP)	$kg O3$ equiv.
Fossil fuel depletion (FFD)	MJ surplus
Carcinogenic potential	CTUh
Noncarcinogenic potential	CTU_h
Respiratory effect potential	kg PM2.5 equiv.
Ecotoxicity potential	CTUe

FIGURE 3 | Cradle-to-grave life cycle of a wind turbine foundation.

FIGURE 4 | From left to right, the printed formwork of the 3DCP Flat Ribbed Beam foundation, a detailed view of the printing path, and the rebar caging of the 3DCP Short Flat Ribbed Beam foundation [\[37\]](#page-14-7).

35-MPa ready-mix concrete. As explored in a previous study [\[23\]](#page-13-13), the high-strength printed concrete has substantially more cement content than the normal-strength concrete, which has a higher water-to-cement ratio and also includes larger aggregates such as gravel. These values are used to calculate the environmental impacts by unit weight of the concrete mixtures using the Ecoinvent 3 [[46\]](#page-14-13) material database within the SimaPro software. The impact indicator values for the 35-MPa ready-mix concrete are sourced from the 2020 Environmental Product Declaration (EPD), which summarizes the cradle-to-gate LCA results of 30 ready-mix concrete mixtures from eight Southern California plants. This includes raw material supply, transportation, and manufacturing from the supplier to the concrete producer (gate) [\[47\]](#page-14-14). Four life cycle indicator categories (carcinogenic, noncarcinogenic, respiratory effect, and ecotoxicity potential) are not included in the EPD and are instead modeled using the "35MPa {GLO} market for|APOS, U" Ecoinvent 3 database entry.

The same travel distances and site location used in the LCA of ultra-tall wind turbine towers [[22](#page-13-15)] are used in this study. San Gorgonio near Palm Springs, California, is selected as the wind turbine tower site location, with concrete materials being supplied from a local ready-mix plant 6.5km from the build site and the remainder of the materials (steel rebar, 3D printers) being sourced 148km away from the nearby metropolis of Los Angeles.

For the use phase, no considerations are made for the life cycle inventory of the concrete foundations. While some minor maintenance checks of the foundation can be expected over the service life of the turbine, such as annually checking the exposed foundation for cracks or the mounting bolts for rust or damage suggested by the "Strategy for Extending the Useful Lifetime of a Wind Turbine" report by Megavind [\[48](#page-14-15)], these checks are assumed to be identical for all four foundations.

For the end-of-life phase, it is assumed that 100% of the foundation will be excavated and crushed prior to shipping 70% of the total mass to a recycling plant (34km from build site) and the remaining 30% to an inert landfill (24km from build site). A Screen Machine 5256T Impact Crusher with a 354kW diesel engine and a crushing rate of 1 m^3 per minute was modeled within the life cycle inventory as a steady-state machine in the Ecoinvent 3 database, based on EPA regulations [\[45](#page-14-12)]. This approach represents a more ambitious end-of-life phase than most wind turbine foundations, which are often partially left in place

and buried in the ground [\[49\]](#page-14-16). By calculating the full amount of reclaimed material, one can estimate what impact indicator values for scenarios where only a portion of the foundation is excavated by multiplying the full reclamation values by the corresponding percentage.

To properly credit the recycled materials within the cumulative LCA results, a credit-buyback system was assumed, where the recycled material is credited as offsetting an equivalent quantity of virgin material used in the material-stage inventory [\[44\]](#page-14-17). This method allows the volume of emissions saved by using recycled materials to be counted without overestimating the impact of recycling by excluding the processes needed to create the material. For instance, while steel is fully recyclable, recycled steel does not entirely offset the material processing and transportation initially required to produce the rebar. Two major materials are considered for recycling in this study: concrete and steel. Concrete waste is assumed to be crushed and recycled as coarse aggregates, providing an environmental credit for partially replacing the initial gravel content in the 35-MPa ready-mix concrete mixture. However, recycled aggregates are not credited in the cumulative environmental impacts reported in Section [4.](#page-6-1) Instead, Section [5](#page-8-1)'s end-of-life parametric study investigates the environmental benefits of recycling concrete. This approach is due to the still-developing understanding of using recycled aggregates in concrete structural designs; previous studies have reported a 10%–20% reduction in compressive strength of concrete when using 100% recycled aggregates [\[50, 51](#page-14-18)]. Steel waste is assumed to be recovered and recycled into scrap steel, credited within the life cycle inventory as offsetting the steel used in rebar production. To estimate the percentage by weight of initial scrap steel in the rebar, it was assumed that an electric arc furnace (EAF) process would be used, with the steel containing 90% scrap by volume [\[52, 53\]](#page-14-19). While recycled rebars need to be valorized or cleaned prior to reuse, the purification and scrap preparation processes are not currently considered in this study.

4 | LCA Results

The inventory analysis conducted for each concrete foundation scenario was conducted using the SimaPro life cycle assessment (LCA) software, release 9.0.0.49, utilizing the Ecoinvent 3 Version 3.5, Industry data 2.0, and USLCI material databases [\[46, 54, 55\]](#page-14-20). The numerical weighting of the impact indicators

TABLE 4 | Foundation LCA variables for transportation, construction, and end-of-life stages.

was performed using the Environmental Protection Agency's Tool for Reduction and Assessment of Chemicals and Other Environmental Impacts (TRACI 2.1 V1.05/US 2008) analysis framework. For each of the four foundation models, the ten impact indicators shown in Table [3](#page-5-2) were calculated for each of the life cycle stages (materials, transportation, construction, and end-of-life), with their cumulative totals shown in Figure [6.](#page-9-0) From these LCA results, we can see that the 3DCP Short Flat Ribbed Beam foundation has the lowest life cycle impact indicator values for smog, fossil fuel depletion, acidification, and carcinogens, while the Conventional Tapered foundation has the lowest global warming, eutrophication, ozone depletion, noncarcinogens, respiratory effects, and ecotoxicity impact indicators. The Conventional Circular foundation exhibits the highest life cycle values for GWP, smog, fossil fuel depletion, acidification, carcinogens, noncarcinogens, respiratory effects, and ecotoxicity, while the 3DCP Flat Ribbed Beam foundation shows the highest ozone depletion and eutrophication values. The environmental impacts and percentage contributions to the cumulative life cycle impact for each foundation's life cycle stages are listed numerically in Appendix Tables [A4](#page-19-0) and [A5](#page-21-0). The material stage dominates the total results for all six impact indicators. For GWP, the material stage contributes 97%–98% of the total life cycle impact of each concrete foundation.

FIGURE 5 | Mix designs of 3D printed concrete and ready-mix concrete with 78MPa and 35MPa 28-day compressive strengths, respectively. Percentages of ingredients are based on weight. The mix percentages by weight of the 35-MPa concrete are extrapolated from the "35MPa {GLO} market for|APOS, U" entry in the Ecoinvent 3 database due to the lack of specific mix design data in the CalPortland EPD [[46](#page-14-13)].

Figure [7](#page-10-0) shows a breakdown of the material stage by component. The largest contributor for all four foundations is the 35- MPa cast concrete, followed by the printed 78-MPa concrete for the 3DCP foundations, with the steel rebar being the smallest contributor for each foundation. Although the foundations designed using 3D printed formworks have a lower volume of total concrete than the conventional foundations, the use of the 78- MPa 3D printed concrete results in a total material-stage GWP that is greater than that of the conventional foundations. This difference can be attributed to the comparative GWP emissions of the two different materials, which, in turn, is a result of the cement percentage by weight in the mix designs. The 35-MPa ready-mix concrete is composed of 13.48% cement by weight, while the 78-MPa 3D printed concrete contains 47.73% cement by weight. Calculating the GWP emissions of an equivalent volume of concrete material reveals that 1 m^3 of 78-MPa printed concrete produces nearly three times the kilograms of CO₂ equivalent compared to the 35-MPa ready-mix concrete, as shown in Figure [8.](#page-10-1) Due to the decrease in printed formwork, the 3DCP Short Flat Ribbed Beam foundation emits 44,725kg less CO₂ equivalent compared to the 3DCP Flat Ribbed Beam foundation, with over $40,052$ kg CO₂ saved purely from the reduction in printed material.

For the transportation stage, the Conventional Circular foundation has the largest life cycle impact across all ten impact indicator categories, approximately 1.3 times greater than the other three foundations. This can be attributed to the material inventory for the Conventional Circular foundation, which required the largest mass of materials, leading to the largest tons-perkilometer of travel units. The 3DCP Short Flat Ribbed Beam had the smallest transportation-stage emissions in every category except respiratory effects, although the difference was less than 2% compared to the Conventional Tapered foundation. This is because although the 3DCP Short Flat Ribbed Beam foundation has a smaller material mass than the Conventional Tapered foundation, the need to transport the 3D printers to the build site results in minimal difference in transportation-stage life cycle emissions.

For the construction stage, the foundations using 3D printed formworks have approximately 1.3 times the life cycle impacts compared to both conventional foundations from a worker transport perspective. However, when the emissions from pumps and mixers are accounted for, both foundations using 3D printed formworks exhibit 2–27% lower impacts than the conventional foundations in terms of ozone depletion, GWP, smog, acidification, and fossil fuel depletion. The higher worker transport impact for the foundations with 3D printed formworks can be traced back to the additional construction processes required, particularly the printing of the formworks, which was estimated to take 200 person-hours to complete. The construction-stage worker transport emissions for the conventional foundations are likely overestimated, as more backfilling time is needed to carefully fill around the ribbed beams used by the foundations with 3D printed formwork. This may be counteracted by the time spent installing the rebar cages; while conventional foundations require more rebars, the foundations with 3D printed formworks may involve a more complex rebar assembly. Despite the higher worker transport emission, the foundations with 3D printed formworks use less total material than the conventional foundations, resulting in smaller pump and mixer emissions and lower cumulative construction-stage emissions in five out of ten life cycle indicators. Since the construction phase contributes less than 1% to total emissions in each indicator category, additional detailing might be more beneficial for an economic life cycle calculation rather than the environmental LCA considered in this study.

The total impacts of the end-of-life stage generally correspond to the total mass of the foundations, with the heaviest foundation, Conventional Circular foundation, having just over 1.6 times the emissions of the lightest foundation, the 3DCP Short Flat Ribbed Beam foundation. Although the heavier conventional foundations contain more steel rebar and therefore receive more credit from recycling those materials, the additional weight of the materials being transported to the recycling facility or inert landfill, along with the extra time required to fully crush the foundations, outweighs that benefit when compared to the less massive foundations with 3D printed formworks.

5 | Parametric Study

To further expand upon the LCA results and to make predictions for future designs, two parametric studies were carried

FIGURE 6 | GWP, smog, fossil fuel depletion, acidification, eutrophication, ozone depletion, carcinogenics, noncarcinogenics, respiratory effects, and ecotoxicity impact categories for the Conventional Circular, Circular Tapered, 3DCP Flat Ribbed Beam, and 3DCP Short Flat Ribbed beam wind turbine foundations, broken down by life cycle stage contribution. Numerical values and percentage contribution tables can be found in Appendix Tables [A4](#page-19-0) and [A5.](#page-21-0)

FIGURE 7 | Foundation LCA materials stage breakdown.

FIGURE 8 | Global warming potential of 1 m^3 of conventional 35-MPa ready-mix concrete compared to 78-MPa printed concrete.

out to investigate the effects of key parameter variations on the two life cycle stages with the largest emissions impacts: materials and end-of-life stages. The parameters selected for variation are the percentage of cement by weight in the printed concrete for the materials stage and the recycling rate of the foundation for the end-of-life stage.

As found in the 3DCP wind turbine tower LCA [\[23](#page-13-13)] as well as the foundation LCA in this study, the materials stage is the most impactful life cycle stage in terms of cumulative emissions, contributing over 75% to each of the impact indicator categories and over 97% to the GWP of each foundation. The largest contributor to each foundation's material-stage emissions is the concrete, with concrete being the primary source of emissions within each concrete mix design. To investigate the effect of tailoring the 3D printed formwork on the material-stage emissions, the percentage of cement by unit weight in the 78-MPa 3D printed concrete is varied, assuming the total volume and density of the concrete mixture remain constant. As shown in Figure [9](#page-10-2), the initial cement percentage in the 78-MPa concrete results in both 3DCP foundations having slightly higher GWP compared to the Conventional Tapered concrete foundation, with the GWP of the 3DCP Flat Ribbed Beam foundation being 11.7% higher and the GWP of the 3DCP Short Flat Ribbed Beam foundation being 2.4% higher. However, if both foundations were to use a

FIGURE 9 | Material-stage parametric study.

FIGURE 10 | End-of-life-stage parametric study.

cement percentage by weight similar to a 35-MPa 3D printed concrete design, they would both produce fewer kilograms of CO₂ equivalent emissions than the Conventional Tapered foundation: 6.05×10^4 kg less for the 3DCP Flat Ribbed Beam foundation and 8.16×10^4 kg less for the 3DCP Short Flat Ribbed Beam foundation.

For the end-of-life stage, it is assumed that 100% of the foundations are excavated and crushed before being transported either 34 km to a recycling plant or 24 km to an inert landfill. The original study in Section [3](#page-3-3) assumed a recycling rate of 70%, with 30% of the material going to inert landfills. In this parametric study, the recycling percentage is varied, as shown in Figure [10](#page-10-3). The recycled material is credited back as negative values equivalent to the original material they are offsetting, which is gravel for the recycled concrete and scrap steel for the rebar. Any recycled material that exceeds the mass of original content of gravel or scrap steel is not subtracted from the total emissions, as it would not have been used in the initial construction of the foundations. However, the excess recycled material reduces the total inert landfill emissions, which are calculated based on the weight of disposed material. In this parametric study, it is assumed that 100% of the gravel and scrap steel in the original foundation designs can be replaced with recycled equivalents. However, the effect of recycled coarse aggregates

on concrete material properties is still a subject of ongoing research. Some studies have found a negligible loss in compressive strength even with 100% recycled aggregates [\[56\]](#page-14-21), while others have noted compressive strength losses of 10%–15% at the same substitution percentage [\[50](#page-14-18)]. Therefore, this parametric study may present an optimistic estimate, highlighting the maximum possible environmental offset of recycling concrete materials.

The changing slopes of each foundation's trendline seen in Figure [10](#page-10-3) correspond to the percentages by weight of the material being offset. The first change in slope corresponds to the percentage of gravel in the total volume of concrete. Both conventional foundations are made entirely of 35-MPa ready-mix concrete, which has a gravel percentage by weight of 41%, while the 3DCP Short Flat Ribbed Beam and the 3DCP Flat Ribbed Beam have lower gravel percentages by weight of 33% and 31% respectively, due to their inclusion of 3D printed formwork which has no coarse gravel in it. The second change in slope corresponds to the percentage of scrap steel in the rebar. All rebar is assumed to be comprised of 90% scrap steel, so all four foundations have a slight decrease in slope past a 90% recycling rate. The magnitude of the slope corresponds to the original mass of the foundation, with larger masses benefitting more from a larger recycling rate.

6 | Discussion

From the results of this LCA study, the 3DCP Short Flat Ribbed Beam concrete foundation has the lowest life cycle emissions in terms of smog, fossil fuel depletion, acidification, and carcinogens compared to other concrete foundations. However, the Conventional Tapered concrete foundation has the lowest emissions in terms of GWP, ozone depletion, eutrophication, noncarcinogens, respiratory effects, and ecotoxicity. The smog, fossil fuel depletion, and acidification categories show relatively larger contributions from the transportation and end-of-life stages than the other categories, depending on where the mass of the foundations is transported to and from the final site. The 3DCP Short Flat Ribbed Beam foundation has the lowest total foundation mass, resulting in the lowest emissions in these categories. However, while the transportation and end-of-life stages also significantly impact the ozone depletion and respiratory effects categories, these differences are outweighed by the emissions associated with the highstrength printed concrete, which are highest for the 3DCP Short Flat Ribbed Beam foundation design. The remaining five categories, GWP, eutrophication, carcinogens, noncarcinogens, and ecotoxicity, are predominantly driven by the materials stage. As seen in Figure [8](#page-10-1), the 78-MPa 3D printed concrete has 2.7 times GWP emissions per unit volume compared to the 35-MPa ready-mix concrete. Thus, even though the 3DCP Short Flat Ribbed Beam foundation is 19.8% lighter than the Conventional Tapered foundation, its material-stage GWP is 2.4% higher. The results also reveal that, because the 3DCP Short Flat Ribbed Beam foundation contains less steel reinforcement than the Conventional Tapered foundation, its material-stage fossil fuel depletion is 4.4% lower. The lighter weight of the 3DCP Short Flat Ribbed Beam foundation further reduces the fossile fuel depletion in the transportation,

construction, and end-of-life stages. Consequently, the total life cycle GWP of the 3DCP Short Flat Ribbed Beam foundation is 2.0% higher, while its life cycle fossil fuel depletion is 5.9% lower than that of Conventional Tapered foundation.

Between the two 3DCP foundations, the 3DCP Short Flat Ribbed Beam foudation has 6%–15% lower emissions across all impact indicator categories, with an 8.2% lower GWP, representing 45,194 kg less of CO₂ equivalent. This result highlights the substantial evnironmental benefits that additional engineering refinement can provide for wind turbine foundation designs, beyond only economic cost saving. The material-stage and end-oflife-stage parametric studies indicate further opportunities for emission-reducing refinements of concrete foundations. The design change with the greatest potential GWP impact is reducing the cement content in the final design, which can be achieved either by reducing the cement content in the printed material itself or by reducing the total amount of printed concrete material. The 78-MPa 3D printed concrete emits 983 kg CO₂ equivalent per m3 of material, while an equivalent volume of 35-MPa 3D printed concrete emits only 405 kg CO , equivalent [\[23\]](#page-13-13). If the 3D printed formwork is made of 35-MPa printed concrete rather than the 78-MPa printed concrete, the life cycle GWP emissions of the 3DCP ShortFlat Ribbed Beam foundation could be reduced by 22.5%. Given that the 35-MPa printed concrete has a lower compressive strength than the 78-MPa concrete, the initial 152-mm formwork thickness might need to increased. As an alternative to changing the printed concrete material, emissions can be lowered by redesigning the foundations to require less 3D printed formworks. One opportunity for improvement could involve using a tapered circular mat rather than the current flat circular mat, and employing six-axis robotic printers to create formwork directly on the sloped surface [\[57](#page-14-22)]. Additionally, more variables beyond the length of the foundation ribs could be investigated, perhaps with the use of advanced generative design software [\[58\]](#page-14-23).

Further research into the LCA of wind turbines and their foundations should also consider other modern foundation designs, such as common octagonal spread footing foundations, and a more comprehensive pairing of novel tower and foundation designs such as precast and steel foundations. Future work should also consider the impact of recycling foundations at different excavation maximums rather than assuming a complete removal of the foundation, as many site locations only require the top 1–1.5m of material to be cleared [\[49\]](#page-14-16).

6.1 | 7.5-MW Ultratall Wind Turbine Potential

To provide a complete estimate of the life cycle impacts of a fully assembled 140-m-tall 7.5-MW wind turbine system, the GWP emissions of the four concrete foundation designs evaluated in this study are combined with the four turbine towers assessed in a previous study [\[23\]](#page-13-13). These are further coupled with the estimates for the tower nacelle, blade, and hub subassemblies sourced from the NREL 5-MW reference wind turbine [\[59](#page-14-24)], with a material inventory reported by Raadal et al. [\[60\]](#page-15-0), as recreated in Table [A6](#page-22-0). Additional transportation values for the nacelle/ blade/hub subassemblies are estimated in SimaPro, assuming the materials will be transported from the same wind turbine

Note: Highest and lowest values are in bold.

FIGURE 11 | Lowest and highest tower and foundation combinations with respect to global warming potential (GWP) and grams of $CO₂$ equivalent per kilowatt-hour (kWh). This estimate does not include shared features for the tower and foundation subassemblies.

assembly plant in Pueblo, Colorado, as the steel tower. The original inventory of the rotor and nacelle is derived from a 5-MW offshore turbine and is therefore likely an unconservative estimate for a true 7.5-MW onshore turbine. The concrete foundations in this study were also modeled based on the load cases of the original 78-MPa printed tower design, and therefore may vary slightly if adjusted for the other three tower models. For example, the more massive 35-MPa printed tower might require a less massive foundation due to its higher dead load, while the lighter tubular steel tower may need a more massive foundation to prevent overturning. These totals also do not account for shared features that were omitted from the tower and foundation LCAs, such as excavation, road work, and tower/foundation connecting features.

All of the tower and foundation combinations are shown in Table 5 in terms of total grams of $CO₂$ per kilowatt-hour, assuming the 7.5-MW turbines operate for 25 years at 45.5% capacity, as calculated using the SAM tool from the U.S. National Renewable Energy Labortory (NREL) for a 140-m hub height at the Palm Springs site location [\[61](#page-15-1)]. It is assumed that the nacelle/blade/hub subassemblies are identical for each foundation/tower combination and are treated as a constant value.

Figure [11](#page-12-1) shows both the lowest GWP combination, consisting of the 35-MPa 3D printed concrete tower plus the Conventional Tapered concrete foundation, and the highest GWP combination, consisting of the 78-MPa 3D printed concrete tower plus the Conventional Circular concrete foundation. While the GWP of the Conventional Tapered foundation is 1.9% lower than the 3DCP Short Flat Ribbed Beam foundation, this amounts to a nearly negligible difference of 0.01 g $CO₂/kWh$ in Table [5.](#page-12-0) This indicates that, from a GWP perspective, 3DCP foundations are comparably viable to the conventional alternatives.

7 | Conclusions

In this study, four concrete foundations designed to support a 140-m-tall wind turbine tower, two concrete foundations using 3D printed concrete formworks and two conventional concrete foundations, were compared using a life cycle impact assessment (LCA) framework.

The LCA results shows that, compared to the Conventional Tapered foundation, the 3DCP Short Flat Ribbed Beam foundation has 2.0% higher CO₂-equivalent emissions but 5.9% lower fossil fuel depletion. This difference is relatively minor, given the uncertainty in life cycle inventory data. In contrast, compared to the Conventional Circular foundation, the 3DCP Short Flat Ribbed Beam foundation has 22.4% lower CO₂ equivalent emissions and 28.3% lower fossil fuel depletion.

The material stage is found to dominate the emissions for all four foundations, contributing over 97% to the cumulative global warming potential (GWP) and 88%–90% of the life cycle fossil fuel depletion. This is due to the large volume of materials needed for the foundations. Compared to the environmental impact of the materials, the construction process and worker travel contribute much less to the $CO₂$ emissions. Cement content in concrete is identified as the largest contributor to materialstage GWP. Although the Conventional Tapered foundation has 24.7% more concrete by mass than the 3DCP Short Flat Ribbed Beam foundation, the latter has higher GWP emissions due to the inclusion of 78-MPa 3D printed concrete, which has 2.7 times the GWP of 35-MPa ready-mix concrete. However, a mere 4.2% decrease in cement content by weight in the 78-MPa 3D printed concrete reduces the $CO₂$ equivalent emissions of the 3DCP Short Flat Ribbed Beam foundation to below those of the Conventional Tapered foundation, as indicated in the parametric study. This reduction is achievable through the use of coarse

aggregates, waste and recycled ingredients, and supplementary cementitious materials in the 78-MPa 3D printed concrete. Additionally, recycling concrete foundations is shown to reduce environmental impacts compared to landfill alternatives, with larger foundations benefiting more significantly from higher recycling rates. The results of this LCA support ongoing efforts to develop and use 3D printed concrete materials with lower CO₂ equivalent emissions. Furthermore, optimized foundation designs that use less high-cement-content printed concrete will further reduce life cycle environmental impacts, as shown by the 8% decrease in $CO₂$ equivalent emissions between the 3DCP Flat Ribbed Beam foundation and the optimized 3DCP Short Flat Ribbed Beam foundation.

These life cycle indicators and trends can inform the future research and development of 3DCP technology for new onshore and offshore wind turbine support structures (e.g., foundations, towers, and offshore anchors) that minimize greenhouse gas emissions and energy usage.

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Data Availability Statement

The data that support the findings of this study are available from the corresponding author upon reasonable request.

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FIGURE A1 | Geometry of the 3DCP Flat Ribbed Beam foundation.

TABLE A1 Material inventory of each foundation with their corresponding SimaPro inventory selection, drawing from the USLCI, Ecoinvent 3, and Industry Data 2.0 databases.

^aFrom CalPortland Company [[47](#page-14-14)].

TABLE A2 Emission inventory used for 3D printer calculations.

aModeled using supplementary materials provided by [\[62](#page-15-2)] in "Supplementary file5" for a single hour use of one 6-axis 3D printer.

TABLE A3 Material inventory of modeled 78-MPa 3D printed concrete.

aMasses of silica fume not included due to the material's status as a waste byproduct.

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a Values not used in the material inventory of the hub/blades/nacelle subassemblies. The tower electronics are included because outfitting is not included in the original tower LCA.